



UNITED STATES DEPARTMENT OF COMMERCE
National Oceanic and Atmospheric Administration

NATIONAL MARINE FISHERIES SERVICE
West Coast Region
777 Sonoma Avenue, Room 325
Santa Rosa, California 95404-4731

December 10, 2020

Refer to NMFS No: WCRO-2020-03294

David White
California Supervisor
NOAA Office of Habitat Conservation, Restoration Center
777 Sonoma Avenue, Suite 325
Santa Rosa, California 95404

Re: Endangered Species Act Section 7(a)(2) Biological Opinion and Magnuson-Stevens Fishery Conservation and Management Act Essential Fish Habitat Response for the Pescadero Creek Lagoon Interim Solutions Project

Dear Mr. White:

Thank you for your letter of November 30, 2020, requesting initiation of consultation with NOAA's National Marine Fisheries Service (NMFS) pursuant to section 7 of the Endangered Species Act of 1973 (ESA) (16 U.S.C. 1531 et seq.) for the Pescadero Creek Lagoon Interim Solutions Project. This consultation was conducted in accordance with the 2019 revised regulations that implement section 7 of the ESA (50 CFR 402, 84 FR 45016).

Thank you, also, for your request for consultation pursuant to the essential fish habitat (EFH) provisions in Section 305(b) of the Magnuson-Stevens Fishery Conservation and Management Act (16 U.S.C. 1855(b)) for this action.

In the enclosed biological opinion, NMFS concludes the proposed action is not likely to jeopardize the continued existence of threatened Central California Coast (CCC) steelhead, and endangered CCC coho salmon (*O. kisutch*) and designated critical habitat for both species. However, NMFS anticipates that take of CCC steelhead and CCC coho salmon may occur. An incidental take statement with non-discretionary terms and conditions is included with the enclosed biological opinion.

Regarding EFH, NMFS has reviewed the proposed project for potential effects and determined that the proposed project would adversely affect EFH for coho salmon managed under the Pacific Coast Salmon Fishery Management Plan (FMP), various rockfishes managed under the Pacific Groundfish FMP, and northern anchovy and Pacific sardine managed under the Coastal Pelagic FMP. However, the anticipated effects are minor, temporary, and localized. Therefore, we have no practical EFH Conservation Recommendations to provide and no EFH Conservation Recommendations are included in this document.



Please contact William Stevens, North-Central Coast office in Santa Rosa at (707) 575-6066, or William.Stevens@noaa.gov if you have any questions concerning this consultation, or if you require additional information.

Sincerely,

A handwritten signature in blue ink, appearing to read 'Ale. Van Atta', with a long horizontal flourish extending to the right.

Alecia Van Atta
Assistant Regional Administrator
California Coastal Office

Enclosure

cc: Copy to E-File: ARN 151422WCR2020SR00245

**Endangered Species Act (ESA) Section 7(a)(2) Biological Opinion and Magnuson-Stevens
Fishery Conservation and Management Act Essential Fish Habitat Response**

Pescadero Creek Lagoon Interim Solutions Project

NMFS Consultation Number: WCRO-2020-03294

Action Agency: NOAA Restoration Center

Affected Species and NMFS' Determinations:

ESA-Listed Species	Status	Is Action Likely to Adversely Affect Species?	Is Action Likely to Jeopardize the Species?	Is Action Likely to Adversely Affect Critical Habitat?	Is Action Likely to Destroy or Adversely Modify Critical Habitat?
Central California Coast steelhead (<i>Oncorhynchus mykiss</i>)	Threatened	Yes	No	Yes	No
Central California Coast coho salmon (<i>Oncorhynchus kisutch</i>)	Endangered	Yes	No	Yes	No

Fishery Management Plan That Identifies EFH in the Project Area	Does Action Have an Adverse Effect on EFH?	Are EFH Conservation Recommendations Provided?
Pacific Coast Salmon	Yes	No
Pacific Groundfish	Yes	No
Coastal Pelagic	Yes	No

Consultation Conducted By: National Marine Fisheries Service, West Coast Region

Issued By: 
Alecia Van Atta
Assistant Regional Administrator
California Coastal Office

Date: December 10, 2020

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1. INTRODUCTION

This Introduction section provides information relevant to the other sections of this document and is incorporated by reference into Sections 2 and 3, below.

1.1. Background

NOAA's National Marine Fisheries Service (NMFS) prepared the biological opinion (opinion) and incidental take statement (ITS) portions of this document in accordance with section 7(b) of the Endangered Species Act (ESA) of 1973 (16 USC 1531 et seq.), and implementing regulations at 50 CFR 402, as amended.

We also completed an essential fish habitat (EFH) consultation on the proposed action, in accordance with section 305(b)(2) of the Magnuson-Stevens Fishery Conservation and Management Act (MSA) (16 U.S.C. 1801 et seq.) and implementing regulations at 50 CFR 600 .

We completed pre-dissemination review of this document using standards for utility, integrity, and objectivity in compliance with applicable guidelines issued under the Data Quality Act (DQA) (section 515 of the Treasury and General Government Appropriations Act for Fiscal Year 2001, Public Law 106-554). The document will be available within two weeks at the NOAA Library Institutional Repository [<https://repository.library.noaa.gov/welcome>]. A complete record of this consultation is on file at the NMFS North-Central Coast office in Santa Rosa.

1.2. Consultation History

On July 18, 2017, NMFS issued its written concurrence to the NOAA Restoration Center (NOAA RC) that the NOAA RC's proposed Pescadero Creek Lagoon Interim Solutions Project is not likely to adversely affect threatened Central California Coast (CCC) steelhead (*Oncorhynchus mykiss*) and endangered CCC coho salmon (*O. kisutch*) and their respective critical habitat (151422WCR2017SR00174; WCR-2017-7270). The NOAA RC proposed to mechanically breach the Pescadero lagoon sandbar at Pescadero State Beach with an excavator up to two times per year (in 2017 and 2018) and install a weir beneath the Butano Channel footbridge. The goal of the breaches were to reduce the likelihood of fish kills associated with natural breaches at high inundation levels and poor water quality conditions. A focus of the weir was to sufficiently stem the draining of Butano Marsh during a natural breach so that the flux of oxygen demand is slower than re-aeration and flushing rates can replenish dissolved oxygen in the water column. The weir was installed in August 2017, has functioned as designed, and is still in place.

On October 5, 2017, a mechanical breach was attempted, but the breach did not fully open the mouth to tidal activity and the sandbar re-formed by the following high tide (Jankovitz 2018). On November 3, 2017, a mechanical breach was implemented and was successful at reinstating an open mouth with tidal dynamics (Jankovitz 2018). However, following the mechanical breach, 12 steelhead (in the range of approximately 140-320 millimeters [mm] in length) were

documented dead or moribund¹ mostly along the Butano Creek side of the lagoon complex, likely due to similar hypoxic/anoxic conditions observed following natural breaches (Jankovitz 2018), yet to a much lesser extent. The sandbar gradually rebuilt through November, and on December 14, 2017, another mechanical breach was implemented which reinstated tidal activity (Jankovitz 2018); no dead or moribund steelhead were observed.

Due to the unexpected fish kill in November 2017 and because the NOAA RC proposed to continue mechanical breaches to avoid or reduce fish kills following natural breaches, the NOAA RC requested reinitiation of formal consultation with NMFS by letter dated July 25, 2018, for the Pescadero Creek Lagoon Interim Solutions Project. Included with their letter was *Summary of Annual Water Quality Monitoring, Fish Sampling, and Active Management, Pescadero Creek Lagoon 2017* (Jankovitz 2018).

NOAA RC's July 25, 2018 letter included their determination that the project was likely to adversely affect threatened CCC steelhead, endangered CCC coho salmon, and their respective critical habitat. NMFS reviewed the information and determined that sufficient information to initiate consultation was provided in Jankovitz (2018) and formal consultation was initiated on July 25, 2018.

Mechanical breaches have been conducted during the last three winters (2017, 2018, and 2019). Because there is still potential for a natural sandbar breach to result in a fish kill if water quality in the lagoon deteriorates in November and December 2020, the NOAA RC proposes to conduct one more year (2020) of mechanical breaches if needed. The proposed action is virtually identical to what was proposed in 2017, 2018, and 2019. On November 30, 2020, NMFS received the NOAA RC's request for initiation along with a report prepared by CDFW (Jankovitch 2020) summarizing annual fish sampling, water quality monitoring, and other information about the Pescadero Lagoon collected in 2019. Their letter included their determination that the project was likely to adversely affect threatened CCC steelhead, endangered CCC coho salmon, and their respective critical habitat. Additional information about the project timeline was provided to NMFS on December 7, 2020. On December 7, 2020, NMFS determined the information provided on November 30 and December 7, 2020 was sufficient to initiate formal consultation.

1.3. Proposed Federal Action

Under the ESA, "action" means all activities or programs of any kind authorized, funded, or carried out, in whole or in part, by Federal agencies (50 CFR 402.02). Under MSA, Federal action means any action authorized, funded, or undertaken, or proposed to be authorized, funded, or undertaken by a Federal Agency (50 CFR 600.910).

The NOAA RC proposes to mechanically breach the Pescadero lagoon sandbar in December 2020-January 2021 up to three times. The goal of the breaches are to reduce the likelihood of fish kills associated with natural breaches at high inundation levels and poor water quality conditions.

¹ Though steelhead are regularly found dead when there is a fish kill (as will be described later), dead or moribund coho salmon following a fish kill have never been observed or documented.

This is accomplished by reducing the volume of marshplain inundation (within the Pescadero Marsh Natural Preserve [Preserve]), reinstating sandbar-open phased tidal mixing, and in turn reducing associated water quality constraints. The NOAA RC will follow a decision matrix (Table 1) developed by the California Department of Fish and Wildlife (CDFW), California Department of Parks and Recreation (DPR), and the U.C. Davis Bodega Marine Laboratory to better inform the mechanical breach decision process among environmental regulatory agencies.

Table 1. Mechanical Breach Criteria

Managed Breach Triggers	States	Level
Inundation Level Conditions	Before full marshplain inundation, if feasible	6-8 feet NAVD88 (Highway 1 staff plate)
Stratification Conditions	Any monitoring site is vertically salinity stratified	When top 0.25 m and bottom 0.25m are greater than 5 parts per thousand different
Hypoxic Conditions	Any monitoring site has hypoxia midday 0.25 meters (m) above bed	Less than 1.5 milligrams per liter
Sandbar Condition	Sandbar fully intact	Sandbar presence that eliminates tidal action or mixing
Wave Conditions	Minimal wave and swell states	
Tide Conditions	Near spring tide, if feasible	

Once triggers of the decision matrix are reached and DPR, DFW, NOAA RC, and NMFS have agreed that the risk of a fish kill by not implementing a mechanical breach is greater than the risk of a fish kill caused by a mechanical breach, then the NOAA RC will breach the sandbar.

Breaching activities may occur as soon as 30 days following inundation of the Delta-Butano Marsh after lagoon closure. But in order to maintain a closed lagoon for at least a portion of time that typically occurs, the sandbar will be breached no earlier than seven days after the sandbar has formed and the lagoon closes. The sandbar will be breached with an excavator by constructing an outlet channel through the sandbar that will connect to the ocean and slowly reduce the water surface elevation of the lagoon. The connection to the ocean will allow cooler and well oxygenated water to enter the lagoon and improve existing water quality conditions. Stockpiling of sand adjacent to the outlet channel will be avoided by spreading and smoothing the excavated sand to minimize any visual impact.

The outlet channel will be dug to the approximate following dimensions: 240 feet long, 10 feet wide, and 6 feet deep. The work area would be accessed from Highway 1 and Pescadero State Beach, about 350 feet north of the Highway 1 bridge crossing over Pescadero Creek. The excavator will access the sandbar from the north side of the beach.

Monitoring

Water surface elevation of the lagoon will be monitored weekly by visually observing the staff plate at the Highway 1 bridge southern abutment once a closed sandbar phase is achieved.

Water quality (dissolved oxygen [in milligrams per liter], salinity [parts per thousand], temperature [degrees Celsius], depth [meters]) has been monitored weekly since January 6, 2020 in 0.25-meter increments weekly, and will end in the winter after high flows and full tidal action in the estuary. Water quality will also be monitored during breaching activities. When feasible, water quality will be measured with the use of continuing monitoring devices, such as Sonde units. When this is not feasible, water quality measurements will be collected using a hand-held YSI Pro 2030. In addition to vertical profiles, visibility measurements will be taken at each monitoring site as an indication of turbidity; visibility will be documented utilizing a basic 30 centimeter diameter Secchi dish. Water quality data will be collected at six locations as described in NMFS (2018).

During and following mechanical breaching, CDFW staff will conduct surveys searching for dead and/or moribund salmonids. Search efforts will focus on Butano Channel (near monitoring station CH2) and Butano Creek (near monitoring station BC3) downstream to approximately monitoring station NCK. This is the vicinity where dead and/or moribund steelhead historically have been observed.

Avoidance and Minimization Measures

- The sandbar will be breached at the beginning of an outgoing tide. This is expected to: (1) minimize head differential between the lagoon and the ocean, and therefore reduce the draining effect, and; (2) minimize loss of water volume in the lagoon so that sufficient habitat quantity is maintained. Depending on tides and water quality conditions, an attempt will be made to avoid breaching during an incoming mean high-high tide that is followed by a mean low-low tide.
- The mechanical breach will occur during daylight hours when surface dissolved oxygen is at its highest and when wind conditions are calm (but not following windy conditions) to ensure wind mixing has not caused the bottom of the lagoon to mix and/or turnover the water column. These measures are expected to maintain dissolved oxygen in the estuary above or near hypoxic levels following the breach.
- Only DPR vehicles will be driven on the beach.
- NOAA (NMFS and/or NOAA RC) and CDFW staff will be on-site during breaching activities to supervise work crews and to conduct monitoring via instruments and observation.
- Work crews will be given a safety and listed species briefing prior to breaching activities.
- If any dead animals are observed they will be collected and the standard metrics collected.
- No trash will be left on-site.
- NOAA (NMFS and/or NOAA RC) staff will remain on-site during breaching activities to ensure upland habitat disturbance is minimized.

- Direct access routes, staging area limits, and total area of construction activities will be limited to the minimum necessary to achieve the proposed project.

We considered, under the ESA, whether or not the proposed action would cause any other activities and determined that it would not.

2. ENDANGERED SPECIES ACT: BIOLOGICAL OPINION AND INCIDENTAL TAKE STATEMENT

The ESA establishes a national program for conserving threatened and endangered species of fish, wildlife, plants, and the habitat upon which they depend. As required by section 7(a)(2) of the ESA, each Federal agency must ensure that its actions are not likely to jeopardize the continued existence of endangered or threatened species, or adversely modify or destroy their designated critical habitat. Per the requirements of the ESA, Federal action agencies consult with NMFS and section 7(b)(3) requires that, at the conclusion of consultation, NMFS provide an opinion stating how the agency's actions would affect listed species and their critical habitats. If incidental take is reasonably certain to occur, section 7(b)(4) requires NMFS to provide an ITS that specifies the impact of any incidental taking and includes non-discretionary reasonable and prudent measures (RPMs) and terms and conditions to minimize such impacts.

2.1. Analytical Approach

This biological opinion includes both a jeopardy analysis and an adverse modification analysis. The jeopardy analysis relies upon the regulatory definition of "jeopardize the continued existence of" a listed species, which is "to engage in an action that reasonably would be expected, directly or indirectly, to reduce appreciably the likelihood of both the survival and recovery of a listed species in the wild by reducing the reproduction, numbers, or distribution of that species" (50 CFR 402.02). Therefore, the jeopardy analysis considers both survival and recovery of the species.

This biological opinion relies on the definition of "destruction or adverse modification," which "means a direct or indirect alteration that appreciably diminishes the value of critical habitat as a whole for the conservation of a listed species" (50 CFR 402.02).

The designation(s) of critical habitat for (species) use(s) the term primary constituent element (PCE) or essential features. The 2016 critical habitat regulations (50 CFR 424.12) replaced this term with physical or biological features (PBFs). The shift in terminology does not change the approach used in conducting a "destruction or adverse modification" analysis, which is the same regardless of whether the original designation identified PCEs, PBFs, or essential features. In this biological opinion, we use the term PBF to mean PCE or essential feature, as appropriate for the specific critical habitat.

The 2019 regulations define effects of the action using the term "consequences" (50 CFR 402.02). As explained in the preamble to the regulations (84 FR 44976), that definition does not

change the scope of our analysis and in this opinion we use the terms “effects” and “consequences” interchangeably.

We use the following approach to determine whether a proposed action is likely to jeopardize listed species or destroy or adversely modify critical habitat:

- Evaluate the rangewide status of the species and critical habitat expected to be adversely affected by the proposed action.
- Evaluate the environmental baseline of the species and critical habitat.
- Evaluate the effects of the proposed action on species and their habitat using an exposure-response approach.
- Evaluate cumulative effects.
- In the integration and synthesis, add the effects of the action and cumulative effects to the environmental baseline, and, in light of the status of the species and critical habitat, analyze whether the proposed action is likely to: (1) directly or indirectly reduce appreciably the likelihood of both the survival and recovery of a listed species in the wild by reducing the reproduction, numbers, or distribution of that species, or (2) directly or indirectly result in an alteration that appreciably diminishes the value of critical habitat as a whole for the conservation of a listed species.
- If necessary, suggest a reasonable and prudent alternative to the proposed action.

2.2. Rangewide Status of the Species and Critical Habitat

This opinion examines the status of each species that would be adversely affected by the proposed action. The status is determined by the level of extinction risk that the listed species face, based on parameters considered in documents such as recovery plans, status reviews, and listing decisions. This informs the description of the species’ likelihood of both survival and recovery. The species status section also helps to inform the description of the species’ “reproduction, numbers, or distribution” as described in 50 CFR 402.02. The opinion also examines the condition of critical habitat throughout the designated area, evaluates the conservation value of the various watersheds and coastal and marine environments that make up the designated area, and discusses the function of the essential PBFs that help to form that conservation value.

2.2.1 Species Description and Life History

This biological opinion analyzes the effects of the proposed action on the following listed species and their designated critical habitats:

Endangered Central California Coast (CCC) coho salmon

Listing determination (70 FR 37160; June 28, 2005)

Critical habitat designation (64 FR 24049; May 5, 1999);

Threatened Central California Coast (CCC) steelhead

Listing determination (71 FR 834; January 5, 2006)

Critical habitat designation (70 FR 52488; September 2, 2005).

2.2.1.1 General Life History of Listed Species

2.2.1.1.1 Coho salmon

The life history of coho salmon in California has been well documented by Shapovalov and Taft (1954) and Hassler (1987). In contrast to the life history patterns of other anadromous salmonids, coho salmon in California generally exhibit a relatively simple three year life cycle. Adult coho salmon typically begin the freshwater migration from the ocean to their natal streams after heavy late fall or winter rains breach the sandbars at the mouths of coastal streams (Sandercock 1991). Delays in river entry of over a month are not unusual (Salo and Bayliff 1958, Eames et al. 1981). Migration continues into March, generally peaking in December and January, with spawning occurring shortly after arrival to the spawning ground (Shapovalov and Taft 1954).

2.2.1.1.2 Steelhead

Steelhead are anadromous forms of *O. mykiss*, spending some time in both freshwater and saltwater. Steelhead young usually rear in freshwater for one to three years before migrating to the ocean as smolts, but rearing periods of up to seven years have been reported. Migration to the ocean usually occurs in the spring. Steelhead may remain in the ocean for one to five years (two to three years is most common) before returning to their natal streams to spawn (Busby et al. 1996). The distribution of steelhead in the ocean is not well known. Coded wire tag recoveries indicate that most steelhead tend to migrate north and south along the continental shelf (Barnhart 1986).

Steelhead can be divided into two reproductive ecotypes, based upon their state of sexual maturity at the time of river entry and the duration of their spawning migration: stream maturing and ocean maturing. Stream maturing steelhead enter fresh water in a sexually immature condition and require several months to mature and spawn, whereas ocean maturing steelhead enter fresh water with well-developed gonads and spawn shortly after river entry. These two reproductive ecotypes are more commonly referred to by their season of freshwater entry (i.e., summer [stream maturing] and winter [ocean maturing] steelhead). The timing of upstream migration of winter steelhead, the ecotype most likely encountered during the proposed action, is typically correlated with higher flow events occurring from late October through May. In central and southern California, significant river outflow is also often required to breach sandbars that block access from the ocean; for this reason, upstream steelhead migration in these areas can be significantly delayed, or precluded entirely during extremely dry periods. Adult summer steelhead migrate upstream from March through September; however, there is no known run of summer steelhead in Pescadero Creek. In contrast to other species of *Oncorhynchus*, steelhead may spawn more than one season before dying (iteroparity); although one-time spawners represent the majority.

Because rearing juvenile steelhead reside in freshwater all year, adequate flow and temperature are important to the population at all times (California Department of Fish and Game 1997). Outmigration appears to be more closely associated with size than age. In Waddell Creek, Shapovalov and Taft (1954) found steelhead juveniles migrating downstream at all times of the

year, with the largest numbers of young-of-year and age 1+ steelhead moving downstream during spring and summer. Smolts can range from 5.5 to 8 inches in length. Steelhead outmigration timing is similar to coho salmon (NMFS 2016).

Suspended sediment concentrations, or turbidity, also can influence the distribution and growth of steelhead (Bell 1973, Sigler et al. 1984, Newcombe and Jensen 1996). Bell (1973) found suspended sediment loads of less than 25 milligrams per liter (mg/L) were typically suitable for rearing juvenile steelhead.

2.2.2 Species Status

2.2.2.1 *CCC steelhead*

Historically, approximately 70 populations of steelhead existed in the CCC steelhead DPS (Spence et al. 2008, Spence et al. 2012). Many of these populations (about 37) were independent, or potentially independent, meaning they had a high likelihood of surviving for 100 years absent anthropogenic impacts (Bjorkstedt et al. 2005). The remaining populations were dependent upon immigration from nearby CCC steelhead DPS populations to ensure their viability (McElhaney et al. 2000, Bjorkstedt et al. 2005).

While historical and present data on abundance are limited, CCC steelhead numbers are substantially reduced from historical levels. A total of 94,000 adult steelhead were estimated to spawn in the rivers of this DPS in the mid-1960s, including 50,000 fish in the Russian River -the largest population within the DPS (Busby et al. 1996). Recent estimates for the Russian River are on the order of 4,000 fish (NMFS 1997). Abundance estimates for smaller coastal streams in the DPS indicate low but stable levels with recent estimates for several streams (Lagunitas, Waddell, Scott, San Vicente, Pudding, and Caspar creeks) of individual run sizes of 500 fish or less (62 FR 43937). Some loss of genetic diversity has been documented and attributed to previous among-basin transfers of stock and local hatchery production in interior populations in the Russian River (Bjorkstedt et al. 2005). In San Francisco Bay streams, reduced population sizes and fragmented habitat condition has likely also depressed genetic diversity in these populations.

A recent viability assessment of CCC steelhead concluded that populations in watersheds that drain to San Francisco Bay are highly unlikely to be viable, and that the limited information available did not indicate that any other CCC steelhead populations were demonstrably viable (Spence et al. 2008). The scarcity of information on steelhead abundance in the CCC steelhead DPS continues to make it difficult to assess whether conditions have changed appreciably since the previous assessment of Williams et al. (2011), which concluded that the population was likely to become endangered in the foreseeable future (Williams et al. 2016). Although there were average returns (based on the last ten years) of adult CCC steelhead during 2007/08, research monitoring data from the 2008/09 and 2009/10 adult CCC steelhead returns show a decline in returning adults across their range compared to the previous ten years. The most recent status update concludes that steelhead in the CCC DPS remain "likely to become endangered in the foreseeable future", as new and additional information does not appear to suggest a change in extinction risk (NMFS 2016a). There is little new evidence to suggest that the extinction risk for

this DPS has changed appreciably in either direction since publication of the last viability assessment (Williams et al. 2016).

2.2.2.2 *CCC coho salmon*

Historically, the CCC coho salmon ESU was comprised of approximately 76 coho salmon populations. Most of these were dependent populations that needed immigration from other nearby populations to ensure their long term survival, as described above. Historically, there were 11 functionally independent populations and one potentially independent population of CCC coho salmon (Spence et al. 2008, Spence et al. 2012). Most of the populations in the CCC coho salmon ESU are currently doing poorly; low abundance, range constriction, fragmentation, and loss of genetic diversity is documented, as described below.

Brown et al. (1994) estimated that annual spawning numbers of coho salmon in California ranged between 200,000 and 500,000 fish in the 1940s, which declined to 100,000 fish by the 1960s, followed by a further decline to 31,000 fish by 1991. More recent abundance estimates vary from approximately 600 to 5,500 adults (Good et al. 2005). Recent status reviews (Williams et al. 2011) indicate that the CCC coho salmon are likely continuing to decline in number and new information suggests there has been no change in extinction risk since 2010 viability assessments (Williams et al. 2016). CCC coho salmon have also experienced acute range restriction and fragmentation. Adams et al. (1999) found that in the mid 1990's coho salmon were present in 51 percent (98 of 191) of the streams where they were historically present, and documented an additional 23 streams within the CCC coho salmon ESU in which coho salmon were found for which there were no historical records. Recent genetic research has documented reduced genetic diversity within subpopulations of the CCC coho salmon ESU (Bjorkstedt et al. 2005). The influence of hatchery fish on wild stocks has likely also contributed to the lack of diversity through outbreeding depression and disease.

Available data from the few remaining independent populations suggests population abundance continues to decline, and many independent populations that in the past supported the species overall numbers and geographic distributions have been extirpated. This suggests that populations that historically provided support to dependent populations via immigration have not been able to provide enough immigrants for many dependent populations for several decades. The near-term (10 - 20 years) viability of many of the extant independent CCC coho salmon populations is of serious concern. These populations may not have enough fish to survive additional natural and human caused environmental change.

The two conservation hatchery programs are the Don Clausen Coho Salmon Conservation Program on the Russian River in Sonoma County, California, and the smaller Southern Coho Salmon Captive Broodstock Program on Scott Creek, Santa Cruz County, California. While differing in size and funding, both programs were initiated in 2001 in response to severely depressed coho salmon abundances. Fish are collected from the wild, brought into the hatcheries, genetically tested, and spawned to maximize diversity and prevent inbreeding. In the hatchery, fish are raised to various ages, fed krill, tagged, and released into streams throughout the watersheds. This release strategy allows the fish to imprint on the creek with the aim that they will return to these streams as adults so they can spawn naturally. Juvenile coho salmon and

coho salmon smolts have been released into several Russian River tributaries and coastal watersheds in San Mateo and Santa Cruz counties.

None of the five diversity strata defined by Bjorkstedt et al. (2005) currently support viable coho salmon populations. According to Williams et al. (2016), recent surveys suggest CCC coho abundance has improved slightly since 2011 within several independent populations (mainly north of SF bay), although all populations remain well below their high-risk dispensation thresholds identified by Spence et al. (2008). The Russian River and Lagunitas Creek populations are relative strongholds for the species compared to other CCC ESU populations, the former predominantly due to out-planting of hatchery-reared juvenile fish from the RRCSCBP. The overall risk of CCC coho salmon extinction remains high, and the most recent status review reaffirmed the ESU's endangered status (NMFS 2016b).

2.2.3 Status of critical habitat

In designating critical habitat, NMFS considers, among other things, the following requirements of the species: 1) space for individual and population growth, and for normal behavior; 2) food, water, air, light, minerals, or other nutritional or physiological requirements; 3) cover or shelter; 4) sites for breeding, reproduction, or rearing offspring; and, generally; and 5) habitats that are protected from disturbance or are representative of the historic geographical and ecological distributions of this species (50 CFR 424.12(b)). In addition to these factors, NMFS also focuses on Physical or Biological Features (PBF) and/or essential habitat types within the designated area that are essential to the conservation of the species and that may require special management considerations or protection (81 FR 7214).

The designations of critical habitat for the species described above previously used the term primary constituent element or essential features. The new critical habitat regulations (81 FR7414) replace this term with PBFs. The shift in terminology does not change the approach used in conducting a “destruction or adverse modification” analysis, which is the same regardless of whether the original designation identified primary constituent elements, physical or biological features, or essential features. In this biological opinion, we use the term PBF to mean PCE or essential feature, as appropriate for the specific critical habitat.

In designating critical habitat, NMFS considers, among other things, the following requirements of the species: 1) space for individual and population growth, and for normal behavior; 2) food, water, air, light, minerals, or other nutritional or physiological requirements; 3) cover or shelter; 4) sites for breeding, reproduction, or rearing offspring; and, generally; and 5) habitats that are protected from disturbance or are representative of the historic geographical and ecological distributions of this species (50 CFR 424.12(b)). In addition to these factors, NMFS also focuses on PBFs and/or essential habitat types within the designated area that are essential to conserving the species and that may require special management considerations or protection.

PBFs for CCC steelhead critical habitat, and their associated essential features within freshwater include:

- freshwater spawning sites with water quantity and quality conditions and substrate supporting spawning, incubation and larval development;
- freshwater rearing sites with:
 - water quantity and floodplain connectivity to form and maintain physical habitat conditions and support juvenile growth and mobility;
 - water quality and forage supporting juvenile development; and
 - natural cover such as shade, submerged and overhanging large wood, log jams and beaver dams, aquatic vegetation, large rocks and boulders, side channels, and undercut banks;
- freshwater migration corridors free of obstruction and excessive predation with water quantity and quality conditions and natural cover such as submerged and overhanging large wood, aquatic vegetation, large rocks and boulders, side channels, and undercut banks supporting juvenile and adult mobility and survival.

For CCC coho salmon critical habitat, the following essential habitat types were identified: 1) juvenile summer and winter rearing areas; 2) juvenile migration corridors; 3) areas for growth and development to adulthood; 4) adult migration corridors; and 5) spawning areas. Within these areas, essential features of coho salmon critical habitat include adequate: 1) substrate, 2) water quality, 3) water quantity, 4) water temperature, 5) water velocity, 6) cover/shelter, 7) food, 8) riparian vegetation, 9) space, and 10) safe passage conditions (64 FR 24029).

The condition of CCC coho salmon and CCC steelhead critical habitat, specifically its ability to provide for their conservation, has been degraded from conditions known to support viable salmonid populations. NMFS has determined that currently depressed population conditions are, in part, the result of the following human-induced factors affecting critical habitat²: logging, agriculture, mining, urbanization, stream channelization, dams, wetland loss, and water withdrawals (including unscreened diversions for irrigation). Impacts of concern include altered stream bank and channel morphology, elevated water temperature, lost spawning and rearing habitat, habitat fragmentation, impaired gravel and wood recruitment from upstream sources, degraded water quality, lost riparian vegetation, and increased erosion into streams from upland areas (Weitkamp et al. 1995; Busby et al. 1996; 64 FR 24049; 70 FR 37160; 70 FR 52488). Diversion and storage of river and stream flow has dramatically altered the natural hydrologic cycle in many of the streams within the ESU. Altered flow regimes can delay or preclude migration, dewater aquatic habitat, and strand fish in disconnected pools, while unscreened diversions can entrain juvenile fish.

The CZU Lightning Complex started as a series of lightning fires on August 16, 2020 across western Santa Cruz and San Mateo counties (California Department of Forestry and Fire Protection and California Department of Conservation 2020). The fire was fully contained on September 22, 2020; a total of 86,509 acres burned. There has not been significant rainfall in the burned areas since these fires, nor detailed habitat inventories. It is likely CCC steelhead and

² Other factors, such as over fishing and artificial propagation, have also contributed to the current population status of these species. All these human induced factors have exacerbated the adverse effects of natural environmental variability from such factors as drought and poor ocean conditions.

CCC coho salmon spawning, rearing, and migratory habitat may be affected by future rain events and/or may have been directly impacted by the fires.

2.2.4 Additional Threats to CCC coho salmon, CCC steelhead, and their critical habitat

One factor affecting the range-wide status of the steelhead, salmon, and their aquatic habitat at large is climate change. Impacts from global climate change are already occurring in California. For example, average annual air temperatures, heat extremes, and sea level have all increased in California over the last century (Kadir et al. 2013). Snow melt from the Sierra Nevada has declined (Kadir et al. 2013). However, total annual precipitation amounts have shown no discernable change (Kadir et al. 2013). Most ESUs and DPSs may have already experienced some detrimental impacts from climate change. NMFS believes the impacts on listed salmonids to date are likely fairly minor because natural, and local climate factors likely still drive most of the climatic conditions steelhead experience, and many of these factors have much less influence on steelhead abundance and distribution than human disturbance across the landscape. In addition, The ESUs and DPSs considered in this opinion, for the most part, are not dependent on snowmelt driven streams and, thus, not as affected by declining snow packs as, for example, California Central Valley species.

The threat to listed salmon and steelhead from global climate change will increase in the future. Modeling of climate change impacts in California suggests that average summer air temperatures are expected to continue to increase (Lindley et al. 2007, Moser et al. 2012). Heat waves are expected to occur more often, and heat wave temperatures are likely to be higher (Hayhoe et al. 2004, Moser et al. 2012, Kadir et al. 2013). Total precipitation in California may decline; critically dry years may increase (Lindley et al. 2007, Schneider 2007, Moser et al. 2012). Wildfires are expected to increase in frequency and magnitude (Westerling et al. 2011, Moser et al. 2012).

Shifting climate patterns across coastal California may impair salmon and steelhead population productivity in the future. For example, in the San Francisco Bay region, warm temperatures generally occur in July and August, but as climate change takes hold, the occurrences of these events will likely begin in June and could continue to occur in September (Cayan et al. 2012). Climate simulation models project that the San Francisco region will maintain its Mediterranean climate regime, but will also experience a higher degree of variability of annual precipitation during the next 50 years. The greatest reduction in precipitation is projected to occur in March and April, with the core winter months remaining relatively unchanged (Cayan et al. 2012).

Estuaries may also experience changes detrimental to salmonids. Estuarine productivity is likely to change based on changes in freshwater flows, nutrient cycling, and sediment amounts (Cayan et al. 2012). In marine environments, ecosystems and habitats important to juvenile and adult salmonids are likely to experience changes in temperatures, circulation, water chemistry, and food supplies (Brewer and Barry 2008, Feely et al. 2004, Osgood 2008, Turley 2008, Abdul-Aziz et al. 2011, Doney et al. 2012). The projections described above are for the mid to late 21st Century. In shorter time frames, climate conditions not caused by the human addition of carbon dioxide to the atmosphere are more likely to predominate (Cox and Stephenson 2007, Santer et al. 2011).

2.3. Action Area

“Action area” means all areas to be affected directly or indirectly by the Federal action and not merely the immediate area involved in the action (50 CFR 402.02). The action area for the project encompasses the Preserve (which includes the tidally-influenced portions of lower Pescadero and Butano creeks), the beach between the lagoon and the Pacific Ocean (Pescadero State Beach), and the surf zone where the outlet channel enters the Pacific Ocean.

2.4. Environmental Baseline

The “environmental baseline” refers to the condition of the listed species or its designated critical habitat in the action area, without the consequences to the listed species or designated critical habitat caused by the proposed action. The environmental baseline includes the past and present impacts of all Federal, State, or private actions and other human activities in the action area, the anticipated impacts of all proposed Federal projects in the action area that have already undergone formal or early section 7 consultations, and the impact of State or private actions which are contemporaneous with the consultation in process. The consequences to listed species or designated critical habitat from ongoing agency activities or existing agency facilities that are not within the agency’s discretion to modify are part of the environmental baseline (50 CFR 402.02).

The Preserve is located approximately 12 miles south of the City of Half Moon Bay, San Mateo County, California. It represents the largest estuary-coastal marsh wetland complex for approximately 78 miles between Elkhorn Slough to the south and San Francisco Bay to the north. The Pescadero Lagoon within the Preserve is an approximately 340-acre coastal wetland formed at the confluence of Pescadero Creek and Butano Creek. The Pescadero Creek watershed drains approximately 81 square miles and Butano Creek, a sub-basin of the Pescadero Creek watershed, drains approximately 21 square miles. The area typically has mild weather throughout the year. Half Moon Bay has an average maximum temperature of 66.9 degrees (September) and average minimum temperature of 43 degrees (January). Average annual rainfall (1948-2005) in Half Moon Bay is 26.98 inches with 81 percent of the precipitation occurring in November through March (Western Regional Climate Center 2018).

The marsh offers a diversity of habitats, including salt marsh, freshwater marsh, sand dune, riparian forest, and coastal scrub. The marsh changes seasonally from an open, tidal estuary to a closed lagoon, the result of a sandbar that forms across the mouth of Pescadero Creek during the spring, summer, or fall, and persists usually until late fall or early winter. After formation of the sandbar, the water level in the lagoon and throughout the marsh rises, inundating the lowland areas of the marsh. In addition to CCC steelhead and CCC coho salmon, the marsh provides habitat for Federally threatened and endangered species, including tidewater goby, San Francisco garter snake, California red-legged frog) plus other rare and special status species (i.e., Western pond turtle).

Recent anthropogenic modifications in the Preserve are generally believed to have altered the estuary/lagoon function. Highway 1 construction included modification of the stream and

estuary/lagoon outlet with spits to confine the crossing to a single bridge configuration. In 1991, California Department of Transportation replaced the original Highway 1 Bridge; the old design included four abutments within the estuary/lagoon mouth and the new bridge is more open-span, consisting of two bridge abutments (NMFS 2016). In 1993 an enhancement plan was implemented on the Preserve, but was not implemented according to the intended hydrological and biological goals: the low elevation levee was constructed too low and the culverts quickly rusted and became inoperable (Smith and Reis 1997). By 1995, the sandbar began forming substantially later in the year and this continues to present day (Smith and Reis 1997, Smith 1990). Currently, there is not enough time for reduced late summer stream flow to fully convert the water column to a freshwater lagoon, and as a result the water quality in the lagoon is impaired (e.g., stratified, with anoxia in the bottom layers). Smith (1990) documented that steelhead juveniles grew very rapidly in Pescadero Lagoon in non-drought years prior to implementation of the two aforementioned projects.

Recent positive changes include the Butano Creek Channel Reconnection and Resilience Project completed in 2019 (NMFS 2018). This project reestablished unimpeded passage for salmonids to 10.1 miles of habitat in the Butano Creek watershed for the first time in more than a decade. During the next five years of unimpaired passage conditions, salmonids will have greater opportunities for spawning and rearing in the watershed. A large wood structure towards the upstream end of the project will provide fish habitat, will help stabilize the stream bed elevation and will help facilitate future sediment deposition upstream of the project site (e.g., longevity measure).

Artificial channels and deeper ponded areas in the Lower and Middle Butano marshes that are known to be sources of anoxia/hypoxia have been filled and are expected to limit stratification and anoxia formation as well as reduce the potential transport of accumulated sulfide rich waters from within Butano Marsh during breach events. Hence, these efforts should reduce the frequency and severity of depleted dissolved oxygen levels in the lagoon following breach events that are generally understood to drive fish mortality events (cbec 2018). Reducing fish kills is expected to result in improved conditions for critical habitat and increased adult returns of CCC steelhead and CCC coho salmon to the Pescadero Creek watershed.

Pescadero Lagoon is unique compared to other California Central Coast lagoons for a variety of reasons, including the relatively little permanent infrastructure (hardscape) within the historical tidal prism, but also because it is the only one in the range of the CCC steelhead and the entire California coast where fish kills occurred nearly annually between 1995 and 2017. The Final Recovery Plan for Central California Coast Coho Salmon Evolutionarily Significant Unit (NMFS 2012) included a “Conservation Highlight” of the multidisciplinary task force that is addressing yearly fish kills of CCC steelhead in the estuary. A recovery action identified in the Coastal Multispecies Final Recovery Plan (NMFS 2015) is to address water quality issues that result in fish kills in Pescadero estuary.

Seasonal lagoons are important rearing areas for many juvenile fish and invertebrates. Often viewed as nursery habitats, estuaries are productive waters offering high growth potential and protection from predation. Juvenile anadromous salmonids move through estuarine waters during their annual migration from stream habitats to ocean waters where maturation occurs.

Although estuaries might comprise a small portion of the watershed area, they are critical nursery habitat, as estuary-reared juvenile steelhead make a disproportionate contribution to the spawning adult pool (Bond 2006). Estuaries/lagoons on California's Central Coast have been extensively documented as superior rearing habitat for steelhead and can contribute a disproportionate total number of returning adults compared to stream habitats when conditions are even marginally suitable (Bond et al. 2008). Smith (1990) and Huber (unpublished data, 2012) documented that steelhead juveniles grew very rapidly in Pescadero Lagoon. The impaired condition of the lagoon is one of the most significant limiting factors to the steelhead population in Pescadero Creek (NMFS 2012).

Presently, the open lagoon in summer supports more steelhead than in previous drought years, but substantially lower numbers in wet years than an impounded freshwater lagoon (Smith 2008). Smith (1990) documented excellent juvenile steelhead survival and growth when central California coast lagoons, including Pescadero Lagoon, are open to full tidal mixing and when closed lagoons were entirely converted to freshwater. In the summer of 1989 the sandbar at Pescadero lagoon remained open for several months after artificial sandbar breaching; although the upstream portions of the estuary were shallow, stratified and warm, the well-mixed main embayment was cool and well-oxygenated (Smith 1990). Huber (2018) demonstrated that juvenile *O. mykiss* summertime body growth rates were fastest when the lagoon was fully or partially open in 2011 and 2012 and slowest when it was completely closed in 2013. While growth of the 2013 cohort was probably limited in part by density-dependent effects, the closed lagoon nevertheless provided abundant aquatic habitat during a period when upstream habitats were heavily degraded (Huber 2018) due to drought. In 2017, the period of August and September (the lagoon mouth closed on September 28) appeared to have had the best rearing conditions for juvenile steelhead (between July and November).

Pescadero Lagoon is important rearing habitat for CCC steelhead. In November 1986, Smith (1990) estimated the number of steelhead in the lagoon exceeded 17,000; the standard lengths of all steelhead measured (n = 59) were greater than 130 mm. More recent population estimates are provided by Huber (2018), Jankovitz (2015, 2016, 2017, 2018, 2020), and Jankovitz and Diller (2019) summarized in NMFS (2018). Jankovitz and Diller (2019) and Jankovitz (2020) estimated a closed population of nearly 4,000 juvenile steelhead in July 2018, and nearly 5,000 juvenile steelhead in July 2019, respectively. Some fall population estimates were higher, e.g., October 2019 (approximately 9,000 juvenile steelhead; Jankovitz [2020]). Based on steelhead life history (Busby et al. 1996) and research conducted by Huber (2018) and Jankovitz (2016, 2018), adult steelhead³ also may use the lagoon year-round.

As noted above, the CZU Lightning Complex burned 86,509 acres. Some watersheds in the burned areas drain to Pescadero Creek lagoon. The area near Pescadero Creek Road – at the upstream extent of the lagoon on Butano Creek – is subject to seasonal flooding that can be expected to be exacerbated for several years by excessive runoff from CZU Lightning Complex burned area (California Department of Forestry and Fire Protection and California Department of Conservation 2020). There has not been significant rainfall on the Pescadero and Butano Creek watersheds since these fires, and so the current exposure of listed salmonids in the action area to

³ For this consultation, an adult steelhead is defined as being at least 400 millimeters in length (fork length).

the effects of these fires is likely negligible. The likelihood of listed salmonids being exposed to these effects during the proposed action is unknown due to imprecise and/or dry weather forecasts between the present day and the proposed action (approximately 10-30 days). If there is a significant rain event in the next 30 days or so, there may be increased sedimentation and turbidity in the lagoon. Listed salmonids rearing in and migrating through the lagoon could experience same behavioral effects (i.e., delayed migration, reduced feeding, and displacement).

2.4.1 Status of CCC steelhead in the Action Area

The Pescadero Creek steelhead population is an essential independent population⁴ within the Santa Cruz Mountains Diversity Stratum (NMFS 2016). Steelhead use the action area for rearing and migration. Some juvenile steelhead migrate downstream at all times of the year, but the largest numbers migrate in the spring and summer, with a secondary migration in the late fall or early winter (Shapovalov and Taft 1954). Hayes et al. (2011) observed many summer recruits in Scott Creek lagoon (south of Pescadero Creek Lagoon) retreating upstream into the watershed when estuarine water quality declined in the fall. During the most recent summers at Pescadero marsh, the sandbar has not formed and the mouth is open when the majority of downstream migration is likely occurring.

Pescadero Lagoon is used heavily by steelhead for rearing despite its shallowness and warm summer water (Smith 1990). Estimates for the number of steelhead in the entire lagoon complex in 1986 exceeded 17,000 (Smith 1990). Presently, the open lagoon in summer supports more steelhead than in previous drought years, but substantially lower numbers in wet years than an impounded freshwater lagoon (Smith 2008). As noted above, the steelhead population in the lagoon fluctuates seasonally and annually.

There are no recent population estimates of the Pescadero Creek steelhead run. Historically, Pescadero Creek was one of four “A-1” streams noted in San Mateo County in a 1912 California Department of Fish and Game (DFG) letter and appears to have supported the largest steelhead run in the county historically (DFG 1912, *in* Becker et al. 2010). In a 1967 report, the annual steelhead run of Pescadero Creek was estimated to consist of 1,500 spawning adults (DFG 1967, *in* Becker et al. 2010). The system undoubtedly supported many more steelhead (and coho salmon) before any major degradation of the stream drainage began. For example, in 1870 a commercial fishery existed where a wagon load of steelhead and coho weighing 1-14 kg each was taken daily from Pescadero Creek between October and March (Skinner 1962, *in* Titus et al., 2010). It is reasonable to assume that the anadromous fish populations were previously even larger than the ones which supported the commercial fishery in 1870 (Titus et al. 2010).

⁴ As defined in the Coastal Multispecies Recovery Plan (NMFS 2016), functional independent populations are larger populations that are likely to persist over a 100-year time scale in isolation and without the influence of migrants from neighboring populations.

2.4.2 Status of CCC coho salmon in the Action Area

The Pescadero Creek population of CCC coho salmon is an independent population⁵ (NMFS 2012). On November 16 and 17, 2020, approximately 10,000 juvenile coho salmon were released in the Pescadero Creek watershed upstream of the action area (Joel Casagrande, personal communication 2020). In December 2019, two adult and one jack coho salmon were detected at the passive integrated transponder tag antenna on lower Pescadero Creek just above tidal influence of the lagoon; all three were from hatchery releases as juveniles into Scott Creek (in Santa Cruz County; Patrick Samuel, personal communication 2020). Nonetheless, coho salmon in the Pescadero Creek watershed are nearly extirpated (NMFS 2012). During the 2014-2015 spawning season, three coho salmon carcasses were recovered in Pescadero Creek – all three were from hatchery releases into Scott Creek (in Santa Cruz County) and were coded-wire tagged (Brian Spence, personal communication 2016). In the summer of 2015, snorkel surveys were conducted in the mainstem Pescadero Creek, as well as a tributary, but were unable to confirm successful reproduction. Given that adult returns to Scott Creek were extremely low in 2015-2016 compared to 2014-2015 despite the release of over 32,000 smolts in Scott Creek and San Vicente Creek in spring 2013 (Brian Spence, personal communication 2018), the likelihood of appreciable numbers of adult coho that may have returned to the Pescadero Creek watershed is very low (Brian Spence, personal communication 2016). As described previously, one jack was captured in the lagoon in October 2017 (Jon Jankovitz, personal communication 2018) – which had been released to Scott Creek (Santa Cruz County) as a smolt in May 2017 (Joe Kiernan, personal communication 2018).

Besides the jack, coho salmon have not been detected during seining attempts in the lagoon between 2010 and 2019 (Huber 2018, Jankovitz 2020), nor during recent construction-related fish relocation efforts in Butano Creek (County of San Mateo Department of Public Works 2016, Mike Podlech 2016). Completion of this project and other recent restoration projects aimed at restoring salmonid habitat is expected to improve conditions for coho salmon. And, as noted above, 10,000 juveniles were recently released into the watershed. Thus, it is reasonably likely that coho salmon will return to the Pescadero Creek watershed in the next several years. However, since there have only been sparse reports of coho salmon in the Pescadero Creek watershed during the last two decades, NMFS expects the likelihood of juvenile coho salmon occurring in the action area during December 2020-January 2021 to be low. Based on the expected low number of adult returns, the number of juvenile coho salmon rearing in the action area during project activities is anticipated to be no more than five juvenile coho salmon.

2.4.3 Status of Critical Habitat in the Action Area

Human-induced changes in sedimentation rates and the natural lagoon/marsh configuration has degraded conditions for salmonids in the action area. Recent anthropogenic modifications in the Preserve are generally believed to have altered the estuary/lagoon function. By 1995, the

⁵ A population that is any collection of one or more local breeding units whose population dynamics or extinction risk over a 100-year time period is not substantially altered by exchanges of individuals with other populations. In other words, if one Independent population were to go extinct, it would not have much impact on the 100-year extinction risk experienced by other Independent populations. Independent populations are likely to be smaller than a whole ESU and they are likely to inhabit geographic ranges on the scale of entire river basins or major sub-basins.

sandbar began forming substantially later in the year and this continues to present day (Smith and Reis 1997, Smith 1990). Following fall closure, water quality values in the upper sections of the lagoon begin to deteriorate at depth. Temperatures in the bottom saline stratum can be high (i.e., 26.5 degrees Celsius) and dissolved oxygen concentrations often decline to anoxic conditions at several sites (Jankovitz and Diller 2019). Currently, there is not enough time for reduced late summer stream flow to fully convert the water column to a freshwater lagoon, and as a result the water quality in the lagoon is impaired (e.g., stratified, with anoxia in the bottom layers). Late seasonal closures of the mouth, paired with low freshwater input eliminates the potential for freshwater conversion of the stratified lagoon, and increases the likelihood for fish kills if the sandbar is not actively managed (Jankovitz 2018).

Fish kill events occur in the lagoon during anoxic-hypoxic events following the natural breaching of the lagoon sandbar after an extended closure in late summer or fall. The proximal cause of death is unknown, but it is related to the lack of oxygen – and it is thought to be directly due to the lack of oxygen (Largier et al. 2015). These annual fish kills are caused by a mechanism of high chemical oxygen demand (COD) due to prolonged anoxia, which is released and mixed spatially throughout the water column during turbulent sandbar breach events (Smith 2009). The mixture of high COD effectively depletes the dissolved oxygen and distributes toxic compounds (e.g., hydrogen sulfide) throughout the estuary (Jankovitz 2020). Aside from the potential for fish kills, the Pescadero Creek lagoon is a highly productive complex for steelhead. See NMFS (2018a) for further discussion regarding the record of fish kills.

2.4.4 Previous Section 7 Consultations and Section 10(a)(1)(A) Permits in the Action Area

Pursuant to section 7 of the ESA, NOAA has conducted the following the following interagency consultations within the action area of the Project:

In 2006, the Butano Channel Temporary Weir Project, with funding from the NOAA RC, was implemented. The temporary weir was constructed of inflatable bladders in Butano Channel. The reasons for building the weir were to examine the effect of runoff from Butano Channel on the water quality in the lagoon during the natural breaching of Pescadero Lagoon. The goal of isolating the side channel was to allow NOAA and the California Department of Fish and Game to evaluate whether rapid drainage from the floodplain through the side channel was depressing water quality and contributing to an annual fish kill of steelhead and other marine fish and invertebrates in the main lagoon. The project, permitted by the U.S Army Corps of Engineers and covered under the NOAA RC programmatic biological opinion (ARN 151422SWR2006SR00190), was implemented, but did not function as proposed because the bladders could not be properly installed due to site conditions.

In 2012, NMFS completed informal consultation with the NOAA RC on the Pescadero Creek Lagoon Ecological Function Project (151422SWR2012SR01843; 2012/03720). The NOAA RC proposed to implement a series of measures (i.e., sandbar breaching) to minimize water quality degradation in the Pescadero Creek Lagoon marsh complex intended to prevent or greatly reduce the likelihood of fish kill events. The proposed project included sandbar breaching up two times per year for two years. The sandbar was mechanically breached on October 23, 2012; there were

no fish kills that fall or winter. The sandbar was not manually breached in 2013 due to lack of rainfall and low inflow (a fish kill occurred that winter following the initial natural breach).

In 2015, NMFS completed informal consultation again with the NOAA RC on the Pescadero Creek Lagoon Ecological Function Project (151422SWR2012SR01843; WCR-2015-3518). The NOAA RC proposed to manually breach the Pescadero Lagoon sandbar with an excavator up to two times per year (in 2015 and 2016) between late August and early December depending on when the sandbar forms and the mouth closes.

In July 2016, NMFS completed formal consultation with the U.S Army Corps of Engineers (151422SWR2015SR00266; WCR-2015-3616) for their authorization to the County of San Mateo to remove up to approximately 1,445 cubic yards of sediment from within Butano Creek beneath the Pescadero Creek Road Bridge, and the area 30 feet immediately upstream and 40 feet downstream of the bridge for the next five years (2016-2020), but no more than once per year. The last time the County dredged this area was in 2016.

In August 2016, with funding from the NOAA RC to the RCD, the Butano Creek Floodplain Restoration Project was implemented. The project reconnected approximately 100 acres of abandoned floodplain by installing a roughened ramp to send a large portion of high flows downstream into the historic channel. Additionally, four engineered large woody debris (LWD) structures were installed downstream of the roughened ramp, banks were reshaped to create floodplain connector channels and introduce LWD, and banks were revegetated. Project construction was completed in October 2016 and permitted by the U.S Army Corps of Engineers and covered under the NOAA RC programmatic biological opinion (151422WCR2015SR00285; WCR-2018-9227).

In 2017, NMFS completed informal consultation with the NOAA RC on the Pescadero Lagoon Interim Solutions Projects (151422WCR2017SR00174; WCR-2017-7270). The NOAA RC proposed to manually breach the Pescadero Lagoon sandbar with an excavator up to two times per year (in 2017 and 2018) and install a weir comprised of UV polyethylene bags beneath the Butano Channel footbridge. The sandbar was mechanically breached in November 2017, and breached manually unsuccessfully in January 2018 (the sandbar reformed relatively quickly). The day after the breach, 12 dead or moribund steelhead were discovered. A focus of the weir – similar to the failed Butano Channel Temporary Weir Project in 2006 – was to sufficiently stem the draining of Butano Marsh during a natural breach so that the flux of oxygen demand is slower than re-aeration and flushing rates can replenish dissolved oxygen in the water column. The weir was installed in August 2017, has functioned as designed, and is still in place.

In 2018, NMFS completed formal consultation with the NOAA RC on the Pescadero Lagoon Interim Solutions Projects (151422WCR2017SR00174; WCR-2018-10403) to address unanticipated incidental take and formal consultation with the NOAA RC on the Butano Creek Channel Reconnection and Resilience Project (151422WCR2018SR00110; WCR-2018-9858). The Pescadero Creek lagoon sandbar was mechanically breached in 2018 and 2019. The Butano Creek Channel Reconnection and Resilience Project was implemented to reduce flooding and facilitate upstream sediment deposition, improve a marsh control structure, and improve water quality in the lagoon; it was completed in 2019. The dredged areas of Butano Creek maintained

deeper water, colder water, and high dissolved oxygen, potentially providing critical refugia to fish and other aquatic species (Jim Robins, personal communication 2020).

NMFS has issued section 10(a)(1)(A) research and enhancement permits and section 4(d) limits or exceptions for scientific research and monitoring that occur in the Pescadero Creek and Butano Creek watersheds and Pescadero Lagoon. Salmonid monitoring approved under these programs includes carcass surveys, smolt outmigration trapping, and juvenile density surveys. In general, these activities are closely monitored and require measures to minimize take during the research activities.

2.5. Effects of the Action

Under the ESA, “effects of the action” are all consequences to listed species or critical habitat that are caused by the proposed action, including the consequences of other activities that are caused by the proposed action. A consequence is caused by the proposed action if it would not occur but for the proposed action and it is reasonably certain to occur. Effects of the action may occur later in time and may include consequences occurring outside the immediate area involved in the action (see 50 CFR 402.17). In our analysis, which describes the effects of the proposed action, we considered 50 CFR 402.17(a) and (b).

In this biological opinion, our approach to determine the effects of the action was based on institutional knowledge and a review of the ecological literature and other relevant materials. We used this information to gauge the likely effects of the proposed suite of activities using an exposure and response framework that focuses on the stressors (physical, chemical, or biological), directly or indirectly caused by the proposed action, to which CCC steelhead and CCC coho salmon are likely to be exposed. Next we evaluate the likely response of CCC steelhead and CCC coho salmon to these stressors in terms of changes to survival, growth, and reproduction, and changes to the ability of PBFs to support the value of critical habitat in the action area. PBFs include sites essential to support one or more life stages of the species. These sites for migration, spawning, and rearing, in turn, contain physical and biological features that are essential to the conservation of the species. Where data to quantitatively determine the effects of the proposed action on listed fish and their critical habitat were limited or not available, our assessment of effects focused mostly on qualitative identification of likely stressors and responses.

Since 2017, there have been six (November 3, 2017, December 14, 2017, November 26, 2018, December 4, 2018, December 27, 2018, and December 2, 2019) successful mechanical sandbar breaches (i.e., reinstating full tidal activity). Dead steelhead were found in each year following mechanical breaches. Although, natural breaches instead of mechanical breaches during these time periods likely would have resulted in more fish dying. Following the November 3, 2017, mechanical breach, 12 dead or moribund steelhead in the range of approximately 140-320 millimeters [mm] in length were found mostly along the Butano Creek side of the lagoon complex. Following the November 26, 2018, mechanical breach one dead 275 mm juvenile steelhead was found. Following the December 2, 2019, four dead steelhead ranging in size from 150 to 210 mm were observed on the marshplain near Butano Creek and Butano Channel. Fish ended up dying during the mechanical breaches because anoxic sediment with high chemical

oxygen demand was mobilized which lead to the spreading/exacerbating hypoxic/anoxic conditions in sections of the lagoon (Jankovitz 2018). Thus, we expect the proposed action will result in the death of a small number of steelhead, primarily juveniles, by the same phenomenon observed during past breaches. However, by breaching the sandbar before lagoon head reaches its maximum, and with the functioning weir in Butano Channel, and with the Butano Creek Channel Reconnection and Resilience Project completed, the likelihood of a large fish kill is reduced. Further, there is ample evidence of large fish kills (e.g., Huber 2018, Jankovitz 2017) if no action is taken.

The breach(es) will be controlled and conducted under similar conditions present before and during breaching in 2017, 2018, and 2019 (prior to water quality conditions completely deteriorating). Therefore, in order to estimate the number of fish of each lifestage that may be killed by the proposed action we considered lagoon water quality conditions, steelhead population estimates, and the number of fish killed during past breaches. We estimate the lagoon steelhead population will be between 750-9000 individual steelhead based on Smith (1990) and Jankovitz (2020) estimates. Past mechanical breaches resulted in 0-12 steelhead killed and 0 coho killed. In 2011, during a natural breach, 6 of 235 dead steelhead (2.56 percent) were adults (at least 400 mm). Considering some dead steelhead may not have been observed following past breaches, and recognizing the variability in the lagoon steelhead population, we conservatively estimate up to 24 steelhead and 1 juvenile coho salmon may be killed as a result of each mechanical breach; and up to approximately 2.56 percent of these fish may be adults (2 steelhead and 0 coho adults). Because the NOAA RC may breach the sandbar up to three times in December 2020-January 2021, we expect up to 66 juvenile steelhead, 6 adult steelhead, and 3 juvenile coho salmon may be killed as a result of three mechanical breaches.

The number of steelhead coho salmon that may be killed as a result of the mechanical breaches in December 2020 is expected to make up a very small portion of the lagoon population and a smaller portion of the Pescadero Creek watershed salmonid population. Due to the relatively large numbers of juveniles produced by each spawning pair of adult steelhead and coho salmon, spawning in the Pescadero Creek watershed in future years would be expected to produce enough juveniles to replace any juveniles or an adult that may be killed as a result of the mechanical breach. It is unlikely that the small potential loss of juveniles and adults due to a mechanical breach would impact future adult returns.

2.5.6 Critical Habitat Effects

The action area is designated critical habitat for CCC steelhead and CCC coho salmon. Generally speaking, PBFs of critical habitat for both steelhead and coho salmon found within the action area include sites for migration and rearing (see Section 2.4).

The goal of the mechanical breach(es) are to improve conditions in the lagoon such that fish do not experience deadly water quality conditions. Based on historical lagoon water quality sampling, the lagoon is expected to be stratified prior to the breach: fresher water near the surface, saltier water on the bottom, and generally declining dissolved oxygen levels from top to bottom. Breaching and maintaining an open sandbar will result in full tidal mixing which is expected to re-oxygenate the bottom of the water column. Based on monitoring data of previous

breaches, we expect suitable habitat (water quality and depth) will be maintained post-breach. In the days following the mechanical breaches, poor water quality (i.e., hypoxia and/or anoxia) typically lingers in several areas within the Preserve, but improves (cool and oxygenated) as tidal action is reestablished (Jankovitz 2017, 2018, 2020, and Jankovitz and Diller 2019). NMFS does not anticipate changes in water chemistry in the lagoon during the open sandbar condition following a managed breach to result in harm, injury, or behavioral impacts to salmonids that survive the immediate breach events. A mechanical breach is expected to be implemented before a natural breach would otherwise occur resulting in earlier reductions in lagoon volume than would naturally occur. Based on the three years of recent mechanical breaches, we expect water surface elevation will not be reduced by more than approximately three feet. This elevation loss equates to minor temporary reductions to rearing PBFs for salmonids in the action area. Considering the information above, we expect the proposed action will improve the ability of critical habitat to support listed species' conservation needs in the action area.

2.6. Cumulative Effects

“Cumulative effects” are those effects of future state or private activities, not involving Federal activities, that are reasonably certain to occur within the action area of the Federal action subject to consultation (50 CFR 402.02 and 402.17(a)). Future Federal actions that are unrelated to the proposed action are not considered in this section because they require separate consultation pursuant to section 7 of the ESA.

Some continuing non-Federal activities are reasonably certain to contribute to climate effects within the action area. However, it is difficult if not impossible to distinguish between the action area's future environmental conditions caused by global climate change that are properly part of the environmental baseline *vs.* cumulative effects. Therefore, all relevant future climate-related environmental conditions in the action area are described in the environmental baseline (Section 2.4).

2.7. Integration and Synthesis

The Integration and Synthesis section is the final step in our assessment of the risk posed to species and critical habitat as a result of implementing the proposed action. In this section, we add the effects of the action (Section 2.5) to the environmental baseline (Section 2.4) and the cumulative effects (Section 2.6), taking into account the status of the species and critical habitat (Section 2.2), to formulate the agency's biological opinion as to whether the proposed action is likely to: (1) Reduce appreciably the likelihood of both the survival and recovery of a listed species in the wild by reducing its numbers, reproduction, or distribution; or (2) appreciably diminish the value of designated or proposed critical habitat as a whole for the conservation of the species.

2.7.1 CCC steelhead and CCC coho salmon

CCC steelhead are threatened and CCC coho salmon are endangered. Factors responsible for the decline of these species and their critical habitat include logging, agriculture, mining, urbanization, stream channelization and bank stabilization, dams, wetland loss, and water

withdrawals, and global climate change. As independent populations, the Pescadero Creek CCC steelhead and CCC coho salmon populations are important to the recovery of the DPS and ESU, respectively. Steelhead numbers are substantially reduced from historic levels and many CCC coho salmon independent populations that supported the species overall numbers and geographic distributions in the past have been extirpated. There are no recent population estimates of the Pescadero Creek steelhead run, but historically, Pescadero Creek appears to have supported the largest steelhead run in the county; the system undoubtedly supported many more steelhead before any major degradation of the stream drainage began. The Pescadero coho salmon population continues to be functionally extirpated.

Salmonid habitat in the action area is impaired by an enhancement plan not implemented as designed and by poor water quality in the lagoon. As a result, habitat functionality and potential is limited and there are frequent fish kills. NMFS finds it reasonable to expect that the frequent steelhead kills are adversely affecting the run. This expectation is based on the life stage and size of fish dying, and the existing poor conditions of four habitat attributes in the Pescadero Creek watershed: estuary, habitat complexity, sediment transport, and water quality (NMFS 2012). The loss of these steelhead that could have returned and spawned, coupled with habitat conditions in the watershed are limiting steelhead productivity in the Pescadero Creek watershed. Similarly, impaired habitat conditions in the watershed have likely contributed to the functional extirpation of coho salmon in the watershed.

Mechanical breaching will occur up to three times in December 2020-January 2021. The goal of the breaches are to reduce the likelihood of fish kills associated with natural breaches at high inundation levels and poor water quality conditions. This is accomplished by reducing the volume of marshplain inundation (within the Preserve), reinstating sandbar-open phased tidal mixing, and in turn reducing associated water quality constraints. Juvenile steelhead are expected to be present in the action area during project implementation, whereas there is a very low likelihood of coho salmon occurring in the action area during project implementation.

The project may result in injury and mortality of CCC steelhead adults and juveniles and CCC coho salmon juveniles during sandbar breaching. However, NMFS expects relatively few CCC steelhead adults and juveniles and even fewer CCC coho salmon juveniles may be injured or killed following a mechanical breach because the sandbar breach(es) will be controlled and conducted before water quality conditions become lethal, under similar conditions in which previous mechanical breaches occurred. Therefore, anticipated mortality from each mechanical sandbar breach is expected to be no more than 24 steelhead (22 juveniles and 2 adults) and 1 coho salmon. Because the NOAA RC may breach the sandbar up to three times in December 2020-January 2021, we expect up to 66 juvenile steelhead, 6 adult steelhead, and 3 coho salmon may be killed as a result of 3 mechanical breaches. The number of steelhead and coho salmon that may be adversely affected or killed during project activities is expected to make up a very small portion of the salmonid population in the action area and a smaller portion of the Pescadero Creek watershed salmonid population and a subsequently smaller portion of the CCC DPS and ESU. Due to the relatively large numbers of juveniles produced by each spawning pair of adult steelhead and coho salmon, spawning in the Pescadero Creek watershed in future years would be expected to produce enough juveniles to replace any juveniles that may be killed during project activities. It is unlikely that the small potential loss of salmonid juveniles and adults by this

project would impact future adult returns to impact the Pescadero Creek CCC steelhead or CCC coho salmon populations' resilience and persistence over time.

Empirical evidence suggests large fish kills would occur absent the proposed action (Huber 2018; Jankovitz 2017). By preventing lethal water quality conditions from developing, the project will improve survival of steelhead and coho in the lagoon, thus increasing CCC steelhead and CCC coho salmon population resilience and persistence over time. In fact, the proposed action implements a recovery action identified in the Coastal Multispecies Final Recovery Plan (NMFS 2015), further highlighting its contribution to recovery of CCC steelhead.

Climate change could affect CCC steelhead and CCC coho in the action area. The predicted increase in summer temperatures could lead to reduced growth rates and lower survival for stream and estuary rearing juveniles. Similarly, lower precipitation could lead to reduced stream flows, increased stream drying (e.g., reduced spawning habitat), and less food availability via invertebrate drift. Over the long-term (beyond the December 2020-January 2021 project timeframe) conditions may be further degraded than current conditions in the lagoon and in the upper watershed. Short-term effects of climate change may exacerbate these conditions as well, however, the effects of climate change are not expected to significantly degrade existing conditions over the time frame (approximately 30 days) considered in this biological opinion. Considering the above, we do not expect climate change to affect CCC steelhead CCC coho in the action area beyond the scope considered in this biological opinion.

2.7.2 CCC steelhead and CCC coho salmon critical habitat

The action area contains critical habitat for CCC steelhead and CCC coho salmon. In our adverse modification analysis, we consider the condition of critical habitat, the potential effects of the project on critical habitat, and whether or not those effects are expected to directly or indirectly diminish the value of critical habitat for the conservation of CCC steelhead or CCC coho salmon. We also consider the potential for climate change to alter conditions in the action area such that critical habitat may be affected over the duration of time we consider for this consultation. These elements (condition of critical habitat across the DPS and ESU, in the watershed, and in the action area; effects of the project on critical habitat; and effects of climate change on critical habitat) are considered further below.

Across the DPS and ESU, CCC steelhead and CCC coho salmon habitat has been degraded in a variety of ways. While conditions vary across the DPS and ESU, critical habitat is generally impaired by: altered stream bank and channel morphology; elevated water temperature; lost spawning and rearing habitat; habitat fragmentation; impaired gravel and wood recruitment from upstream sources; degraded water quality/quantity; lost riparian vegetation; and increased sediment delivery into streams from upland erosion. These factors also affect CCC steelhead and CCC coho salmon critical habitat in the Pescadero Creek watershed, which has been impaired by historical logging, channel incision, and water diversions. Both watershed-wide factors and action area-specific factors affect critical habitat in the action area—incised channels deliver excessive amounts of sediment to Butano Creek which impedes fish passage, and the enhancement plan implemented in 1993 has affected habitat and water quality in the lagoon.

CCC steelhead and CCC coho salmon critical habitat in the action areas will be temporarily affected by mechanical breaching. The temporary impacts are expected to be associated with disturbances to the lagoon. We expect water surface elevation will not be reduced by more than approximately 3 feet. Based on monitoring data from recent breaches, we expect suitable habitat (water quality and depth) will be maintained post-breach. The mechanical breaches may result in the mobilization of anoxic sediment with high chemical oxygen demand, which will lead water quality to decrease in sections of the lagoon into hypoxic/anoxic levels (Jankovitz 2018). NMFS does not anticipate changes in water chemistry in the lagoon during the open sandbar condition following a managed breach to result in harm, injury, or behavioral impacts to salmonids that survive the immediate breach events. Therefore, we expect the water quality impacts will be temporary and are not expected to adversely affect PBFs of CCC steelhead or CCC coho salmon critical habitat because aquatic habitat in the lagoon will return to ambient conditions in a few weeks following sandbar reformation. Suitable habitat will be maintained during and following mechanical breaches. Additionally, we expect the project to improve critical habitat in the action area over the short-term by reducing the occurrence of lethal water quality conditions. The project implements a recovery action identified in the Coastal Multispecies Final Recovery Plan which is to address water quality issues that result in fish kills in Pescadero estuary (NMFS 2015).

Regarding future climate change effects on the action area, California could be subject to higher average summer air temperatures and lower total precipitation levels. Reductions in the amount of snowfall and rainfall would reduce stream levels in Northern and Central Coastal rivers. Estuaries may also experience changes in productivity due to changes in freshwater flows, nutrient cycling, and sediment amounts. For this project, in-water activities will occur in 2018 and 2019, and the above effects of climate change are not likely to be detected within that time frame. If the effects of climate change are detected, they will likely materialize as moderate changes to the current climate conditions within the action area. These changes may place further stress on salmonid populations. The effects of the proposed action combined with moderate climate changes effects may result in conditions similar to those produced by natural ocean-atmospheric variations and annual variations. CCC steelhead and CCC coho salmon are expected to persist throughout these phenomena, as they have in the past, even when concurrently exposed to the effects of similar projects.

2.8. Conclusion

After reviewing and analyzing the current status of the listed species and critical habitat, the environmental baseline within the action area, the effects of the proposed action, the effects of other activities caused by the proposed action, and cumulative effects, it is NMFS' biological opinion that the proposed action is not likely to jeopardize the continued existence of CCC steelhead, nor destroy or adversely modify its designated critical habitat.

After reviewing and analyzing the current status of the critical habitat, the environmental baseline within the action area, the effects of the proposed action, the effects of other activities caused by the proposed action, and cumulative effects, it is NMFS' biological opinion that the proposed action is not likely to jeopardize the continued existence of CCC coho salmon, nor destroy or adversely modify its designated critical habitat.

2.9. Incidental Take Statement

Section 9 of the ESA and Federal regulations pursuant to section 4(d) of the ESA prohibit the take of endangered and threatened species, respectively, without a special exemption. “Take” is defined as to harass, harm, pursue, hunt, shoot, wound, kill, trap, capture or collect, or to attempt to engage in any such conduct. “Harm” is further defined by regulation to include significant habitat modification or degradation that actually kills or injures fish or wildlife by significantly impairing essential behavioral patterns, including breeding, spawning, rearing, migrating, feeding, or sheltering (50 CFR 222.102). “Incidental take” is defined by regulation as takings that result from, but are not the purpose of, carrying out an otherwise lawful activity conducted by the Federal agency or applicant (50 CFR 402.02). Section 7(b)(4) and section 7(o)(2) provide that taking that is incidental to an otherwise lawful agency action is not considered to be prohibited taking under the ESA if that action is performed in compliance with the terms and conditions of this incidental take statement.

2.9.1. Amount or Extent of Take

In the biological opinion, NMFS determined that incidental take is reasonably certain to occur as follows:

Take of listed CCC steelhead and CCC coho salmon may occur during mechanical sandbar breaching in December 2020-January 2021. The number of threatened CCC steelhead or endangered CCC coho salmon that may be incidentally killed during each mechanical breach is expected to be small. NMFS expects no more than 66 juvenile steelhead, 6 adult steelhead, and 3 coho salmon to die if there is a fish kill following 3 mechanical breaches in December 2020-January 2021 (see 2.7 Integration and Synthesis). Take will have been exceeded if mortality estimates are exceeded.

2.9.2. Effect of the Take

In the biological opinion, NMFS determined that the amount or extent of anticipated take, coupled with other effects of the proposed action, is not likely to result in jeopardy to CCC steelhead or CCC coho salmon or destruction or adverse modification of critical habitat.

2.9.3. Reasonable and Prudent Measures

“Reasonable and prudent measures” are nondiscretionary measures that are necessary or appropriate to minimize the impact of the amount or extent of incidental take (50 CFR 402.02).

1. Ensure sandbar breaching methodology is properly implemented while breaching.
2. Conduct post-sandbar breaching reconnaissance in the lagoon for dead or moribund salmonids to ensure sandbar breach(es) resulted in minimal take of CCC steelhead and CCC coho salmon.
3. Prepare and submit a report to document the effects of mechanical breaching activities, including water quality monitoring.

2.9.4. Terms and Conditions

The terms and conditions described below are non-discretionary, and the NOAA RC must comply with them in order to implement the RPMs (50 CFR 402.14). NOAA RC has a continuing duty to monitor the impacts of incidental take and must report the progress of the action and its impact on the species as specified in this ITS (50 CFR 402.14). If the entity to whom a term and condition is directed does not comply with the following terms and conditions, protective coverage for the proposed action would likely lapse.

- 1) The following terms and conditions implement reasonable and prudent measure 1:
 - a) The NOAA RC shall allow any NMFS employee(s) or any other person(s) designated by NMFS, to accompany field personnel to visit the project site during activities described in this biological opinion.
- 2) The following terms and conditions implement reasonable and prudent measure 2:
 - a) The NOAA RC, or their designee, shall walk the margins of the main lagoon embayment, lower Butano Channel, lower Butano Creek, and the western edge of Delta Marsh, and/or use a boat, to search for dead or moribund salmonids.
 - b) If any salmonids are found dead or injured, the biological monitor will contact NMFS biologist, William Stevens, by phone immediately at (707) 575-6066 or the NMFS North Central Coast Office (Santa Rosa, California) at 707-575-6050. The purpose of the contact is to review the activities resulting in take, determine if additional protective measures are required, and to ensure appropriate collection and transfer of salmonid mortalities and tissue samples. All salmonid mortalities will be retained. Tissue samples are to be acquired from each salmonid mortality per the methods identified in the NMFS Southwest Fisheries Science Center Genetic Repository protocols (contact the above NMFS staff for directions) and sent to: NOAA Coastal California Genetic Repository; Southwest Fisheries Science Center; 110 McAllister Way; Santa Cruz, California 95060.
 - c) Species, fork length, and condition of collected salmonids shall be recorded and the information provided to NMFS biologist (see contact above).
- 3) The following term and condition implements reasonable and prudent measure 3:
 - a) NOAA RC must provide a written report to NMFS by February 15, 2021. The report must be submitted to NMFS North Central Coast Office, Attention: William Stevens, 777 Sonoma Avenue, Room 325, Santa Rosa, California, 95404-6528. The report must contain, at a minimum, the following information:
 - (1) **Breaching related activities** -- The report(s) must include the dates mechanical breaching occurred; a discussion of any unanticipated effects or unanticipated levels of effects on salmonids, including a description of any and all measures

taken to minimize those unanticipated effects and a statement as to whether or not the unanticipated effects had any effect on ESA-listed fish; the number of salmonids killed or injured during the project action; and photographs taken before, during, and after the activity from photo reference points.

- (2) **Water Quality Monitoring** -- The report must include data collected as described in section 1.3 (Monitoring) of the biological opinion.

2.10. Conservation Recommendations

Section 7(a)(1) of the ESA directs Federal agencies to use their authorities to further the purposes of the ESA by carrying out conservation programs for the benefit of the threatened and endangered species. Specifically, conservation recommendations are suggestions regarding discretionary measures to minimize or avoid adverse effects of a proposed action on listed species or critical habitat or regarding the development of information (50 CFR 402.02).

The NOAA RC has programs that support salmonid restoration and is a valued partner of NMFS West Coast Region. To facilitate the recovery of listed species and to enhance critical habitat, NMFS recommends the NOAA RC provide funding towards implementation of the Pescadero Marsh Habitat Restoration and Resilience Project.

2.11. Reinitiation of Consultation

This concludes formal consultation for the Pescadero Creek Lagoon Interim Solutions Project. As 50 CFR 402.16 states, reinitiation of consultation is required and shall be requested by the Federal agency or by the Service where discretionary Federal agency involvement or control over the action has been retained or is authorized by law and if: (1) The amount or extent of incidental taking specified in the incidental take statement is exceeded, (2) new information reveals effects of the agency action that may affect listed species or critical habitat in a manner or to an extent not considered in this opinion, (3) the identified action is subsequently modified in a manner that causes an effect to the listed species or critical habitat that was not considered in the biological opinion, or (4) a new species is listed or critical habitat designated that may be affected by the action.

3. MAGNUSON-STEVENSON FISHERY CONSERVATION AND MANAGEMENT ACT ESSENTIAL FISH HABITAT RESPONSE

Section 305(b) of the MSA directs Federal agencies to consult with NMFS on all actions or proposed actions that may adversely affect EFH. Under the MSA, this consultation is intended to promote the conservation of EFH as necessary to support sustainable fisheries and the managed species' contribution to a healthy ecosystem. For the purposes of the MSA, EFH means "those waters and substrate necessary to fish for spawning, breeding, feeding, or growth to maturity", and includes the physical, biological, and chemical properties that are used by fish (50 CFR 600.10). Adverse effect means any impact that reduces quality or quantity of EFH, and may include direct or indirect physical, chemical, or biological alteration of the waters or substrate

and loss of (or injury to) benthic organisms, prey species and their habitat, and other ecosystem components, if such modifications reduce the quality or quantity of EFH. Adverse effects on EFH may result from actions occurring within EFH or outside of it and may include site-specific or EFH-wide impacts, including individual, cumulative, or synergistic consequences of actions (50 CFR 600.810). Section 305(b) of the MSA also requires NMFS to recommend measures that can be taken by the action agency to conserve EFH. Such recommendations may include measures to avoid, minimize, mitigate, or otherwise offset the adverse effects of the action on EFH [CFR 600.905(b)]

This analysis is based, in part, on the EFH assessment provided by the Corps and descriptions of EFH for Pacific Coast salmon (PFMC 2014) contained in the fishery management plans developed by the PFMC and approved by the Secretary of Commerce.

3.1. Essential Fish Habitat Affected by the Project

Pacific Coast salmon EFH may be adversely affected by the proposed action. Specific habitats identified in the PFMC (2014) for Pacific Coast salmon include habitat areas of particular concern (HAPCs), identified as: 1) complex channels and floodplain habitats; 2) thermal refugia; and 3) spawning habitat.

3.2. Adverse Effects on Essential Fish Habitat

The potential adverse effects of the project on EFH have been described in the preceding biological opinion and included degraded water quality, benthic disturbance, and temporary and permanent loss of riparian vegetation. Therefore, the effects of the project on ESA-listed species are anticipated to be the same as the effects to EFH in the action area.

3.3. Essential Fish Habitat Conservation Recommendations

Because the proposed action includes appropriate avoidance and minimization measures and best management practices in the accompanying biological opinion that are sufficient to avoid, minimize, and/or mitigate for the anticipated effects, NMFS determined that no additional EFH Conservation Recommendations are necessary at this time that would otherwise offset the adverse effects to EFH.

3.4. Supplemental Consultation

The NOAA RC must reinitiate EFH consultation with NMFS if the proposed action is substantially revised in a way that may adversely affect EFH, or if new information becomes available that affects the basis for NMFS' EFH Conservation Recommendations (50 CFR 600.920(l)).

4. DATA QUALITY ACT DOCUMENTATION AND PRE-DISSEMINATION REVIEW

The Data Quality Act (DQA) specifies three components contributing to the quality of a document. They are utility, integrity, and objectivity. This section of the opinion addresses these DQA components, documents compliance with the DQA, and certifies that this opinion has undergone pre-dissemination review.

4.1. Utility

Utility principally refers to ensuring that the information contained in this consultation is helpful, serviceable, and beneficial to the intended users. The intended users of this opinion are the NOAA RC. Other interested users could include the California State Parks, Department of Fish and Wildlife, U.S. Fish and Wildlife Service, San Mateo Resource Conservation District, the Regional Water Quality Control Board, and Pescadero residents. Individual copies of this opinion were provided to the NOAA RC. The document will be available within two weeks at the NOAA Library Institutional Repository [<https://repository.library.noaa.gov/welcome>]. The format and naming adheres to conventional standards for style.

4.2. Integrity

This consultation was completed on a computer system managed by NMFS in accordance with relevant information technology security policies and standards set out in Appendix III, 'Security of Automated Information Resources,' Office of Management and Budget Circular A-130; the Computer Security Act; and the Government Information Security Reform Act.

4.3. Objectivity

Information Product Category: Natural Resource Plan

Standards: This consultation and supporting documents are clear, concise, complete, and unbiased; and were developed using commonly accepted scientific research methods. They adhere to published standards including the NMFS ESA Consultation Handbook, ESA regulations, 50 CFR 402.01 et seq., and the MSA implementing regulations regarding EFH, 50 CFR 600.

Best Available Information: This consultation and supporting documents use the best available information, as referenced in the References section. The analyses in this opinion and EFH consultation contain more background on information sources and quality.

Referencing: All supporting materials, information, data and analyses are properly referenced, consistent with standard scientific referencing style.

Review Process: This consultation was drafted by NMFS staff with training in ESA and MSA, and reviewed in accordance with West Coast Region ESA quality control and assurance processes.

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